

*Please complete this form on behalf of an entity account holder. If you are a controlling person of an entity, please complete the "Controlling the Person Self-Certification-CRS form". Please don't complete this form if you are an individual account holder, sole trader or sole proprietor; please ask us for the "Individual Self-Certification-CRS form".*

The OECD Common Reporting Standards (CRS) requires CBI to collect and report certain information about each account holder's citizenship and residency status for international tax compliance purposes.

Depending on the countries or territories in which an account holder is tax resident, CBI may be required to disclose certain information regarding the account holder to the tax authority of the jurisdiction in which the account is held. Where the information is provided to the local tax authorities, the local tax authorities may exchange this information with the foreign tax authorities of the countries or territories in which the account holder is a tax resident.

## Legal Name of Entity/Branch

Country of incorporation/organisation

## House/Apt/ Bldg. Name, Number &amp; Street

City/Town

State/Province/County

Postal Code

Country

**Mailing address (if different from above):**

House/Apt/ Bldg. Name, Number &amp; Street

City/Town

State/Province/County

Postal Code

Country

Please provide the Account Holder's Status by ticking one of the following boxes:

☐ (a) Financial Institution – Investment Entity:

☐ (i) An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution. (Note: if ticking this box, please also complete Part 3 below)

☐ (ii) Other Investment Entity

☐ (b) Financial Institution – Depository Institution, Custodial Institution or Specified Insurance Company

If you have ticked (a) or (b) above, please provide, if held, the Account Holder's Global Intermediary Identification Number ("GIIN") obtained for FATCA purposes.

☐ (c) Active NFE – a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation.

If you have ticked (c), please provide the name of the established securities market on which the corporation is regularly traded:

If you are a Related Entity of a regularly traded corporation, please provide the name of the regularly traded corporation that the Entity in (c) is a Related Entity of:

☐ (d) Active NFE – a Government Entity or Central Bank

☐ (e) Active NFE – an International Organisation

☐ (f) Active NFE – other than (c)-(e) (for example a start-up NFE or a non-profit NFE)

☐ (q) Passive NFE (Note: if ticking this box, please also complete Part 3 below)

**Part 3: If you have ticked (a) (i) or (g) above, then please indicate the name and status of any Controlling Person(s) of the Account Holder:**

Name of controlling person	Nationality	Status <sup>2</sup>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____

Complete "Controlling Person Self-Certification-CRS form" for each Controlling Person.

Note: If there are no natural person(s) who exercise control of the Entity, then the Controlling Person will be the natural person(s) who hold the position of senior managing official.

**Part 4: Country of Residence for Tax Purposes and related Taxpayer Identification Number or equivalent number\* ("TIN") \***

1. Please complete the following table indicating:

- (i) Where the Account Holder is tax resident and  
(ii) The Account Holder's TIN for each country indicated

2. If the Account Holder is not tax resident in any jurisdiction (e.g., because it is fiscally transparent), please indicate that on line 1 and provide its place of effective management or country in which its principal office is located.

3. If the Account Holder is tax resident in more than three countries, please use a separate sheet

4. If a TIN is unavailable, please provide the appropriate reason A, B or C where indicated below:

**Reason A:** The country where the Account Holder is liable to pay tax does not issue TINs to its residents.

**Reason B:** The Account Holder is otherwise unable to obtain a TIN or equivalent number. (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason.)

**Reason C:** No TIN is required. (Only select this reason if the authorities of the country of tax residence entered below do not require the TIN to be disclosed.)

	Country of tax residency	TIN *	If no TIN available enter (Reason A, B or C)
1			
2			
3			

5. Please explain in the table below why you are unable to obtain a TIN if you have selected Reason B above.

1	
2	
3	

**Part 5: Declaration and Undertakings**

I understand that the information supplied by me is covered by the provisions of the terms and conditions governing the Account Holder's relationship with CBI setting out how CBI may use and share the information supplied by me.

I acknowledge that this form, the information contained in this form and information regarding the Account Holder and any Reportable Account(s) may be provided to any relevant tax authority, including the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be resident for tax purposes pursuant to bilateral or multilateral agreements between governments to exchange financial account information.

I certify that I am the Account Holder (or am authorised to complete this form and sign for the Account Holder) of all the account(s) to which this form relates. I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.

I undertake to advise CBI within 30 days of any change in circumstances which affects the tax residency status of the individual identified in Part 1 of this form or causes the information contained herein to become incorrect and to provide CBI with a suitably updated self-certification and declaration within up to 30 days of such change in circumstances.

Print name: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Note: If you are not the Account Holder, please ensure you have filled the Account Holder's details and indicate the capacity in which you are signing the form. If signing under a Company resolution, Power of Attorney, or other entity authorisation; please also attach a certified copy of the appropriate documents authorising you to complete this form.

Capacity: \_\_\_\_\_

<sup>1</sup>Each controlling person must sign a separate Controlling Person Self-Certification-CRS form

<sup>2</sup>Controlling person status: ownership, senior managing official, settlor, trustee, protector, beneficiary

\*Taxpayer Identification Number (TIN) is a unique combination of letters and/or numbers assigned to an individual or entity. Some countries do not issue a TIN, but may rely on other issued numbers such as social security/national insurance numbers or company registration numbers for entities. The OECD has published a list of the acceptable TIN and their alternatives.